

04/18/01

## ADAMS &amp; WILKS

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• NOT ADMITTED IN NEW YORK  
• REGISTERED PATENT AGENTRIGGS T. STEWART  
(1924-1993)TELEPHONE  
(212) 809-3704FACSIMILE  
(212) 809-3704BY EXPRESS MAIL ON  
April 18, 2001  
(EK 825 217 243 US)Box PATENT APPLICATION  
ASSISTANT COMMISSIONER FOR PATENTS  
Washington, DC 20231JC872 U.S. PTO  
09/03/01  
04/18/01RECORD OF TRANSMITTALINVENTORS: (1) Yasuyuki MITSUOKA  
(2) Takashi NIWA  
(3) Kenji KATO  
(4) Manabu OUMI  
(5) Nobuyuki KASAMA  
(6) Susumu ICHIHARATITLE: OPTICAL WAVEGUIDE PROBE AND MANUFACTURING METHOD OF  
THE SAME, AND SCANNING NEAR-FIELD OPTICAL MICROSCOPE

DOCKET NO: S004-4282

S I R:

Kindly find enclosed herewith for filing the documents checked below. Any fees or charges not covered by the accompanying check should be charged to our Deposit Account No. 01-0268.

APPLICABLE ITEMS CHECKED:

PATENT APPLICATION (pages 1-33 of specification, 22 claims (pages) 30-33 and abstract of the disclosure (pg.34))

DESIGN APPLICATION (specification, claim, declaration and power of attorney and photograph(s))

FORMAL DRAWINGS ( 9 sheets) (NI A4)

INFORMAL DRAWINGS ( \_\_\_\_\_ sheets)

RECORDATION FORM COVER SHEET and ASSIGNMENT  
(to be recorded and returned to the undersigned)

XX PRIORITY CLAIM - applicant(s) claim the benefit of priority of the following application(s):

Japanese Patent Appln. No. 2000-117967  
filed April 19, 2000

Japanese Patent Appln. No. 2001-078891  
filed March 19, 2001

Japanese Patent Appln. No.  
filed

Japanese Patent Appln. No.  
filed

XX PRIORITY DOCUMENT(s)

       Enclosed      XX Not Enclosed

       VERIFIED STATEMENT TO ESTABLISH SMALL ENTITY STATUS

XX EXPRESS MAIL CERTIFICATION

       OTHER -

XX FEE

A check is enclosed for the following official fees:

	Large Entity	Small Entity
<u>XX</u> Basic Filing Fee	\$ 710.00	\$
<u>1</u> Independent Claims in Excess of 3	\$ 80.00	\$
<u>2</u> Total Claims in Excess of 20	\$ 18.00	\$
<u>      </u> Multiple Claim Fee	\$	\$
<u>      </u> Assignment Recordal Fee	\$	\$
TOTAL	\$ 808.00	\$

Respectfully submitted,

ADAMS & WILKS  
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By:

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04/18/01  
J1093 U.S. PTO

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A  
JC872 U.S. PTO  
09/837836  
04/18/01

Re: New patent application of Yasuyuki MITSUOKA  
for OPTICAL WAVEGUIDE PROBE AND MANUFACTURING METHOD OF  
THE SAME, AND SCANNING NEAR-FIELD OPTICAL MICROSCOPE

comprising transmittal letter, title, specification (pgs.1-33),  
22 claims (pgs.30-33), abstract of the disclosure (pg.34), 9  
sheets of formal drawings containing thereon Figs. 1a-11, express  
mail certification and check in the amount \$808.00

Attorney's Docket No: S004-4282

**EXPRESS MAIL CERTIFICATION UNDER 37 CFR §1.10**

Express Mail Tracking Number: EK 825 217 243 US

Date of Deposit: April 18, 2001

I hereby certify that this paper or fee is being deposited with  
the United States Postal Service "Express Mail Post Office to  
Addressee" service under 37 CFR §1.10 on the date indicated above and  
is addressed to Box PATENT APPLICATION, ASSISTANT COMMISSIONER OF  
PATENTS, Washington, DC 20231.

*Michael Ruas*  
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